

# NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.: 11111/2003B

## CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, Express Mail Label No. EV242753545US on this date, September 19, 2003, postage prepaid, in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

17858 U.S. PTO  
10/666871

091903

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### Enclosed herewith is a new patent application of:

First Named Inventor (or application identifier): Andrew H. Segal

Title of Invention: Lectin Compositions and Methods of Modulating an Immune Response to an Antigen

- Type: ☐ New  
☐ Continuation of:  
☐ Continuation-in-Part of:  
☒ Divisional of: 10/645,000  
 which is hereby incorporated by reference in its entirety into the application filed herewith;

### and includes the following papers:

1. ☒ Specification 983 pages (including specification, claims, abstract)/68 claims (1 independent)
2. ☒ Declaration/Power of Attorney is:  
☐ Executed ☐ Unexecuted ☐ Executed copy from Parent Application  
☒ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☐ Distinct sheets of ☐ Formal ☐ Informal Drawings
4. ☒ Application Data Sheet
5. ☐ Preliminary Amendment.
6. ☐ Information Disclosure Statement  
☐ Form 1449 ☐ A copy of each cited prior art reference
7. ☐ Assignment with Recordation Cover Sheet.
8. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
United States	60/404,823	August 20, 2002
United States	60/487,407	July 15, 2003

9. ☐ Priority is hereby claimed under 35 U.S.C. § 120 based upon the following application(s):

Application Number	Date of Filing (day, month, year)

10. ☒ Priority is hereby claimed under 35 U.S.C. § 121 based upon the following application(s):



Atty. Docket No.: 11111/2003B

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Segal, et al.  
Serial No.: Divisional of Application No.  
10/645,000  
Filed: September 19, 2003  
Entitled: LECTIN COMPOSITIONS AND  
METHODS FOR MODULATING  
AN IMMUNE RESPONSE TO AN  
ANTIGEN

Examiner Not Yet Assigned  
Group Art Unit: Not Yet Assigned  
Conf. No.: Not Yet Assigned

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**Mail Stop Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**TRANSMITTAL LETTER**

Enclosed for filing in the above-identified patent application, please find the following documents:

1. New Patent Application Transmittal;
2. Patent Application Specification, (983 pages);
3. Application Data Sheet;
5. Check in the amount of \$798.00 for the requisite filing fee; and
6. Return Post Card.

Pursuant to 37 C.F.R. § 1.27, Applicant claims small entity status.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 11111/2003B. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date: September 19, 2003

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